

## Transmission of securities

For the purpose of transmission of securities, individual Beneficial Owner [BO] account holder(s) have an option to either avail the nomination facility by providing the nominee details in Annexure J/JA or provide a declaration that they do not wish to make a nomination with regard to the securities held in the BO account.

In few cases the BO account holder(s) may have provided the nominee details in the format prior to the introduction of Annexure JA at the time of opening of the BO account or subsequently but due to circumstances beyond the control of BO(s), the information in the specified new format (i.e. including photograph and signature of nominee) may not have reached the Participant before the demise of the BO account holder(s). In such cases, Participants may, on receipt of the indemnity as per the specified format along with the relevant documents, process the transmission requests after satisfying themselves about the identity of the nominee.

Further details about this circular are posted on NSDL website [www.nsd.co.in](http://www.nsd.co.in).

(Ref: Circular Nos. NSDL/POLICY/2009/0002 dated January 3, 2009 & NSDL/POLICY/2013/0002 dated January 3, 2013)

## Rationalisation process for obtaining PAN by Investors

SEBI vide its Circular No. CIR/MIRSD/01/2013 dated January 4, 2013 stated that with a view to bring about operational flexibility and in order to ease the PAN verification process, the intermediaries may verify the PAN of their clients online at the Income Tax website without insisting on the original PAN card, provided that the client has presented a document for Proof of Identity other than the PAN card.

(Ref: Circular No. NSDL/POLICY/2013/0003 dated January 4, 2013)

## Implementation of Rajiv Gandhi Equity Savings Scheme (RGESS)

With the Introduction of Rajiv Gandhi Equity Savings Scheme (RGESS) for new retail investors, following features are being introduced to facilitate Participants for RGESS implementation:

- Eligibility of depository accounts marked for RGESS.
- Access to information regarding ineligible depository accounts marked for RGESS on i-Assist.

## Inside :

### ➤ Performance Summary

Investor Accounts .....	12,535,266
Participants .....	283
Participant Service Centres .....	14,435
Demat Custody .....	₹ 79,56,043 Cr.
Settlement .....	₹ 1,28,202 Cr.
Companies available for demat .....	
Instruments	
❖ Debentures/Bonds .....	8,625
❖ Securitised Instruments .....	822
❖ Commercial Papers .....	1,539
❖ Certificates of Deposit .....	1,219

### ➤ List of New Participant Centres

### ➤ Corporate Action Statistics

### ➤ Companies Name Change

### ➤ Securities Admitted For Dematerialisation

### ➤ Calendar of Events

### ➤ Topic of Interest

# NSDL UPDATE

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- Execution of quantity level freeze (lock-in of eligible securities).
  - Annual Transaction Statement for the accounts marked for RGESS.
- (Ref: Circular No. NSDL/POLICY/2013/0006 dated January 8, 2013)

## Training Programmes for Participants:

### ➤ NCDO/NISM training / certification programmes for Participants

NSDL has made arrangements with NISM to facilitate staff of Participants to get certified for NISM-Series VI Depository Operations Certification Examination (DOCE) as per SEBI requirements. A training programme is conducted by NSDL for Participant's staff followed by NISM-Series VI-DOCE online test conducted by NISM. In December 2012, NSDL conducted seven such training programmes at Ahmedabad, Chennai, Mumbai and New Delhi which were attended by 275 officials nominated by various Participants.

### ➤ KYC Training Programme for Participants

In light of the recent developments with respect to depository account opening viz., Introduction of KYC Registration Agency (KRA), Qualified Foreign Investors (QFI), Basic Services Demat Account (BSDA), Rajiv Gandhi Equity Savings Scheme (RGESS) etc., training programme on 'KYC requirements for Account Opening' was organized which focused on latest developments as well as other requirements for opening various Depository Accounts, to facilitate efficient functioning of depository operations of Participants. In November 2012, NSDL conducted five such training programmes in Ahmedabad, Chennai, Kolkata, Mumbai and New Delhi which were attended by 234 officials of Participants.

### ➤ CPE Training Programme for Participants

NSDL, an approved NISM Accredited Continuing Professional Education (CPE) Provider offers CPE programmes for associated persons of market intermediaries who are holding one of the valid certificates such as NCFM (NSE's Certification in Financial Markets), NCDO (NSDL's Certification in Depository Operations), BCCD (BSE's Certification on Central Depository), Certificate on completion of CDSL's DP training programme on Central Depository Services (India) Limited & NISM Series VI- Depository Operations Certification Examination (DOCE). In December 2012, NSDL conducted six such training programmes at Chennai, Kolkata, Mumbai & New Delhi which were attended by 88 persons nominated by various Participants.

## **Name Change of Participant**

Old Name	New Name	DP ID
MF Global Sify Securities India Private Limited	PhillipCapital (India) Private Limited	IN302164

- The name of 'The Jammu & Kashmir Bank Limited' as a Participant is replaced with 'JKB Financial Services Limited' (DP ID IN302349) consequent to transfer of depository business.

## **One more Participant became operational in NSDL during January 2013**

In January 2013, following Participant became operational in NSDL:

Sr. No.	Participant Name	City
1	Samridhi Stocks Private Limited (DP ID IN304061)	Kolkata

The above addition take the total number of operational Participants to 283 and the total number of Depository Participant Module (DPM) set-ups to 363.

## Performance Summary

### Investors :

Month -Year	Client Accounts
	No. of Accounts (lakh)
Dec-10	113.17
Dec-11	118.47
Dec-12	125.35

### Participants :

Month - Year	No. of Participants	Service Centres	Cities / Towns
Dec-10	289	12,378	1,394
Dec-11	282	13,886	1,528
Dec-12	283	14,435	1,562

### Dematerialisation :

Month - Year	No. of Dematerialised Securities (Cr.)	Value of Dematerialised Securities (₹ Cr.)
Dec-10	43,425	67,53,485
Dec-11	55,908	63,44,959
Dec-12	66,457	79,56,043

### Settlement :

Month - Year	Quantity of shares settled in dematerialised form (Cr.)	Value of shares settled in dematerialised form (₹ Cr.)
Dec-10	711	128,098
Dec-11	370	64,627
Dec-12	757	128,202

(Single Side)

### Companies

#### EQUITY

Month - Year	No. of Companies Operational
Dec-10	8,675
Dec-11	9,453
Dec-12	10,463

#### DEBENTURES / BONDS

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Dec-10	570	6,842	8,26,469
Dec-11	590	7,414	9,54,690
Dec-12	697	8,625	11,85,993

#### SECURITISED INSTRUMENTS

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Dec-10	582	870	42,599
Dec-11	570	868	40,250
Dec-12	536	822	39,121

#### COMMERCIAL PAPERS

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Dec-10	186	1,128	3,75,617
Dec-11	234	1,642	1,35,255
Dec-12	231	1,539	1,86,276

#### CERTIFICATES OF DEPOSIT

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Dec-10	42	906	82,556
Dec-11	44	1,379	4,28,134
Dec-12	42	1,219	3,54,649

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## New Participant Centres

### ANDHRA PRADESH

#### AMALAPURAM

HDFC Bank Limited  
K Agraharam Bank Street,  
Ph.: 08856-234119

#### CHILAKALURIPET

HDFC Bank Limited  
NRT Center, Ph.: 08647-259527

#### GUNTUR

HDFC Bank Limited  
Opp. Gandhi Park, Ph.: 0863-233525

Kotak Mahindra Bank Limited  
Guntur-Narasaropeta Road,  
Ph.: 0863-2325276

#### HYDERABAD

HDFC Bank Limited  
Banjara Hills, Ph.: 040-23541035

IDBI Bank Limited  
Chandanagar, Serilingampally Mandal,  
Ph.: 040-64629956

Sharekhan Limited  
6th Phase Kukatpally, Ph.: 07893838866

Miyapur Allwyn Roads,  
Ph.: 040-40128444/09396658999

Shapur, Ph.: 09000009502

KPHB Colony Kukatpally,  
Ph.: 09705120512

#### KANDUKUR

Sharekhan Limited  
Near RTC Depot, Kovur Road,  
Ph.: 09505953773

#### SECUNDERABAD

Sharekhan Limited  
Opp. St. Annes College, Tarnaka,  
Ph.: 09963004100

Tirumalagerry, Ph.: 09966784916

#### VIJAYAWADA

Axis Bank Limited  
MG Road Kamayyathopu Centre,  
Kanuru, Ph.: 0866-2586067/97

HDFC Bank Limited  
Krishna Nagar, Ph.: 0866-2552022

#### BIHAR

#### FORBESGANJ

HDFC Bank Limited  
Near ADB Chowk Bus Stand Road

#### PATNA

HDFC Bank Limited  
Ashok Rajpath, Ph.: 0612-2678011  
Bhootnath Road, Kankarbagh,  
Ph.: 0612-2344402

#### CHHATTISGARH

#### BHATAPARA

IDBI Bank Limited  
Near Modi Electronics,  
Ph.: 07726-09752701888

#### DELHI

#### NEW DELHI

IDBI Bank Limited  
Derawal Nagar, Ph.: 011-64789778-85

Sharekhan Limited  
Near Shisha Wali Masjid

Netaji Subhash Place Pitampura,  
Ph.: 011-47567000-05/09811061982

Durgapuri, Ph.: 09818221660

#### GUJARAT

#### AHMEDABAD

ASE Capital Markets Limited  
Center Point, Panchwati

Axis Bank Limited  
Pilaji Gunj, Mehsana,  
Ph.: 02762-233225 -29

HDFC Bank Limited  
Soni Ni Chawl, Odhav,  
Ph.: 079-22870102

IDBI Bank Limited  
Opp. Inductoherm, Main Road, Bopal,  
Ph.: 02717-233901

Kotak Mahindra Bank Limited  
Opp. Torrent Power Station,  
Ph.: 079-30451407

Sharekhan Limited  
Behind Narol Police Chowky,  
Ph.: 09909907188

Nr Ayojan Nagar, Paldi,  
Ph.: 09662334700

#### ANKLESHWAR

Sharekhan Limited  
Ankaleshvar Bhdakodra,  
Ph.: 02646-220502/09824131209

Syam Nagar Society, Ph.: 09828628098/  
09924199284

#### DAMAN

Sharekhan Limited  
Ice Factory Road., Ph.: 09898497429

#### DHORAJI

HDFC Bank Limited  
Nr. Post Office Chowk,  
Ph.: 02824-221332

#### IDAR

IDBI Bank Limited  
Jawanpura, Ph.: 02788-654301-03

#### RAJKOT

Sharekhan Limited  
Jamnagar Road, Ph.: 09227501001

#### SURAT

Sharekhan Limited  
Near Maheshwari Bhavan City Light,  
Ph.: 09898869906

23-6 Radhakrushna Complex,  
Ph.: 09016190261

#### VADODARA

Sharekhan Limited  
Near Deep Chambers, Manjalpur,  
Ph.: 0265-2760591/09998980608

Old Padra Road, Ph.: 0265-2322938/  
09824108929

#### HARYANA

#### FARIDABAD

IDBI Bank Limited  
Shyam Colony, Ballabgarh,  
Ph.: 0129-2211462

#### ROHTAK

HDFC Bank Limited  
Kranti Chowk, Ph.: 01257-233001

#### JAMMU AND KASHMIR

#### ANANTNAG

HDFC Bank Limited  
Lalchowk, Ph.: 01932-223147

#### KULGAM

HDFC Bank Limited  
College Road, Ph.: 01931-260094

#### JHARKHAND

#### ADITYAPUR

HDFC Bank Limited  
Shiv Ranjani Complex,  
Ph.: 0657-2383162

#### KARNATAKA

#### BANGALORE

Sharekhan Limited  
Manjunatha Nagar Ittumadu,  
Ph.: 080-26721460/09902739329

Govindaraj Nagar Vijayanagar,  
Ph.: 09886963694

### KERALA

#### KOCHI

IDBI Bank Limited  
Near Changampuzha Park N.H.47,  
Edapally, Ph.: 0484-6050500

#### MANJERI

Geojit BNP Paribas Financial Services  
Limited  
Kacheripady, Ph.: 0483-2765467 / 69

#### MADHYA PRADESH

#### GWALIOR

HDFC Bank Limited  
Baradari Chowk, Ph.: 0751-4016385

Sharekhan Limited  
Madhavrao Schindhia Marg,  
Ph.: 09826257378

#### JABALPUR

HDFC Bank Limited  
Civil Lines, Near RTO office,  
Ph.: 0761-4044601

#### RAJGARH

Axis Bank Limited  
Bhawar Colony, Khilchipur Naka,  
Ph.: 07372-254102

#### UJJAIN

Sharekhan Limited A Black Agar Road,  
Ph.: 09589522321

#### MAHARASHTRA

#### MANGAON

HDFC Bank Limited  
Opp. JBD Plaza, Mumbai-Goa Road,  
Ph.: 02140-261129

#### MUMBAI

Sharekhan Limited  
L.B.S. Marg Bhandup (W),  
Ph.: 09664598791

M. G. Road Kandivali (W),  
Ph.: 022-28020331/09324310912

Marve Road Malad (W),  
Ph.: 09833722248

#### NAGPUR

Sharekhan Limited  
Pratap Nagar, Ph.: 09970544999

#### PUNE

Sharekhan Limited  
Bibwewadi-Kondhwa Road, Bibwewadi,  
Ph.: 09881096712  
Chapekar Chowk Chinchwad,  
Ph.: 020-27353043/09822870092

#### SOLAPUR

HDFC Bank Limited  
Gangadham Society Hotagi Road,  
Ph.: 0217-2603260

#### THANE

Sharekhan Limited  
G. B. Road, Thane (W),  
Ph.: 09833032246

Kashele Village Bhiwandi,  
Ph.: 09987143286

Patlipada Ghodbunder Road, Thane(W),  
Ph.: 022-40129782/09892466028

#### MEGHALAYA

#### SHILLONG

HDFC Bank Limited  
West Khasi Hills, Meghalaya,  
Ph.: 03654-280953

#### ORISSA

#### BHUBANESHWAR

Axis Bank Limited  
Ashok Nagar, Ph.: 0674-2530320/  
720/820

### PUNJAB

#### JALANDHAR

HDFC Bank Limited 5 Cool Road,  
Ph.: 0181-5003492

#### RAJASTHAN

#### JAIPUR

HDFC Bank Limited  
Near Subhash Chowk,  
Ph.: 01421-513145

Sharekhan Limited  
Bajaj Nagar, Ph.: 0141-2594470/  
09460709777

#### JODHPUR

HDFC Bank Limited  
Pal Road, Ph.: 0291-5132088

#### SOJAT

HDFC Bank Limited  
Dak Bungalow Road, Ph.: 02960-513388

#### TAMIL NADU

#### NAMAKKAL

Sharekhan Limited  
Thirumana Mandabam,  
Ph.: 09865229768

#### UTTAR PRADESH

#### AGRA

HDFC Bank Limited  
M G Road, Ph.: 05694-237017

#### BARAUT

HDFC Bank Limited  
Meerut Road, Ph.: 01234-252922

#### CHANDAUSI

HDFC Bank Limited  
Azad Road, Ph.: 05921-251036

#### CHHIBRAMAU

HDFC Bank Limited  
Opp Shanti Palace Guest House,  
Ph.: 05691-220075

#### CHITRAKOOT

HDFC Bank Limited  
Allahabad Road, Karwi,  
Ph.: 05198-235132

#### GHAZIABAD

Sharekhan Limited  
Indra Puram, Ph.: 08595456685  
Vasundhara, Ph.: 09811332141

#### LUCKNOW

HDFC Bank Limited  
Air Force Station Meaura,  
Ph.: 0522-2864873

#### PRATAPGARH

Sharekhan Limited  
Kachery Road, Ph.: 05342-228183/  
08765241710

#### WEST BENGAL

#### KOLKATA

Edelweiss Broking Limited  
6A - Middleton Street,  
Ph.: 033-40283700

HDFC Bank Limited  
9B, Hindustan Road Gariahat,  
Ph.: 033-40030268

IDBI Bank Limited  
Sarat Bose Road, Ph.: 09674024455

Sharekhan Limited  
24 Parganas, Ph.: 033-  
24359912/08981598500  
Ghoshpara Road, Ph.: 09339958563

6 Little Russell Street,  
Ph.: 09831687747

#### REGHUNATHGANJ

HDFC Bank Limited  
Raghunathganj, Murshidbad,  
Ph.: 03483-266530

# NSDL UPDATE

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## Corporate Action Statistics

Sr. No.	Description	During December 2012		For F.Y. 2012 - 13 (Upto December 2012)	
		No. of Allottees	No. of Securities	No. of Allottees	No. of Securities
1	Equity Shares	289,735	7,560,180,073	1,416,352	39,881,148,377
2	Preference Shares	80	612,759,435	1,131	2,572,429,063
3	Bonds	20,673	109,247,629	267,740	760,210,551
4	Commercial Papers	675	1,650,520	5,282	11,284,055
5	Certificates of Deposit	990	11,071,680	5,324	56,548,341
6	Securitised Instruments	46	19,693,347	279	66,071,006
7	Mutual Fund Units	16,423	72,861,810	147,840	2,336,430,328
8	Warrants	0	0	2	16,500,000
9	Commodities	224	2,510,892	2,199	15,112,860
10	Mutual Fund Units (Trase)	10,637	29,954,700	79,295	440,602,510
	<b>Total</b>	<b>339,483</b>	<b>8,419,930,086</b>	<b>1,925,444</b>	<b>46,156,337,090</b>

## List of Companies that have changed Names

*(During December 2012)*

Sr. No.	Old Name	New Name
1	PNB Principal Financial Planners Private Limited	Principal Retirement Advisors Private Limited
2	Gujarat Narmada Valley Fertilizers Co. Limited	Gujarat Narmada Valley Fertilizers & Chemicals Limited
3	Northgate Technologies Limited	Green Fire Agri Commodities Limited
4	Middy Multimedia Limited	Next Mediaworks Limited
5	Cinevistaas Limited	Cinevista Limited
6	GMR Jadcherla Expressways Private Limited	GMR Jadcherla Expressways Limited
7	Simhapuri Energy Private Limited	Simhapuri Energy Limited
8	BS Transcomm Limited	BS Limited
9	AIG Global Investment Group Mutual Fund	PineBridge Mutual Fund
10	LN Polyesters Limited	LN Industries India Limited
11	Convergys Information Management (India) Private Limited	NetCracker Technology Solutions (India) Private Limited
12	Indo Websec Limited	Fruition Venture Limited
13	Elitecore Technologies Limited	Elitecore Technologies Private Limited
14	Great Offshore Limited	GOL Offshore Limited
15	Future Capital Holdings Limited	Capital First Limited
16	IDream Mediasphere Private Limited	SMAAASH Entertainment Private Limited
17	MF Global Finance & Investment Services India Private Limited	Phillip Finance & Investment Services India Private Limited
18	Anantraj Industries Limited	Anant Raj Limited
19	P S Global Limited	Radford Global Limited
20	Mega Channel Computers Limited	Oswal Minerals Limited
21	Vaibhav Gems Limited	Vaibhav Global Limited
22	Henkel India Limited	Jyothy Consumer Products Limited
23	Oasis Cine Communication Limited	Ecowave Infotech Limited
24	Utility Energytech And Engineers Private Limited	Reliance Utility Engineers Private Limited
25	Shauma Vanijya Pratisthan Limited	Peterhouse Investments India Limited

## Securities admitted for Dematerialisation during December 2012

COMPANY	ISIN	COMPANY	ISIN
AZZ E Waste Management Limited	INE197001017	Lakhotia Polyesters (India) Limited	INE191001010
Aashirvad Pipes Private Limited	INE175001013	Loha Ispaat Limited	INE162001011
Aasni Multitrade Private Limited	INE201001017	Manvik Estates Private Limited	INE198001015
Adani International Container Terminal Private Limited	INE217001013	Metlife India Insurance Company Limited	INE207001014
Advinus Therapeutics Limited	INE383N01015	Metropolis Estates Private Limited	INE199001013
Arcl Organics Limited	INE372M01010	Newswire18 Limited	INE203001013
Arum Investments Private Limited	INE241001013	Nugrid Consulting Private Limited	INE186001010
B. P. Spring & Engineering Company Private Limited	INE172001010	Oscar Retailers Limited	INE003001017
Beekay Associates Private Limited	INE249001016	Parsvnath Rail Land Project Private Limited	INE187001018
Century Vision Private Limited	INE215001017	Patna Buxar Highways Limited	INE165001014
Choicest Enterprises Limited	INE174001016	People Combine Nagpur Ventures Private Limited	INE134001010
Conart Trader'S Limited	INE190001012	Proline India Limited	INE128001012
Cookson India Private Limited	INE200001019	Reliance Capital Pension Fund Limited	INE261001011
Defiance Technologies Limited	INE157001011	Renew Wind Energy (Karnataka) Private Limited	INE248001018
Diffusion Engineers Limited	INE184001015	S L S Power Corporation Limited	INE214001010
Eco Friendly Food Processing Park Limited	INE178001017	SCI Search And Selection Services Private Limited	INE183001017
Esteem Bio Organic Food Processing Limited	INE209001010	Seam Industries Limited	INE239001017
Gayatri Hi-Tech Hotels Limited	INE182001019	Shreenathji-Udaipur Tollway Private Limited	INE213001012
GCM Securities Limited	INE168001018	Sidhi Singrauli Road Project Limited	INE164001017
Godrej Developers Private Limited	INE250001014	Star Chemicals (Bombay) Private Limited	INE166001012
Gulf Ashley Motor Limited	INE218001011	Strand Life Sciences Private Limited	INE196001019
HDFC Life Pension Fund Management Company Limited	INE255001013	Tirumala Holdings Private Limited	INE185001012
IDBI Infrafin Limited	INE246001012	Uniparts India Limited	INE244001017
IL&FS Portfolio Management Services Limited	INE216001015	United Liner Agencies of India (Private) Limited	INE232001012
Indocon Agro and Allied Activities Private Limited	INE262001019	Uniworld Sugars Private Limited	INE247001010
Jai Maharashtra Nagar Development Private Limited	INE675N01014	Uttar Bharat Hydro Power Private Limited	INE205001018
Kavita Fabrics Limited	INE149001018	Value Realty Private Limited	INE231001014
Khubchandani Hospitals Private Limited	INE240001015	Voyants Solutions Private Limited	INE204001011
Knowledge City Education Private Limited	INE233001010	Wellesley Corporation Limited	INE176001011
Kujjal Builders Private Limited	INE173001018	Wonderla Holidays Private Limited	INE066001014
Lahoti Financial Services Limited	INE115001019	Yournest Capital Advisors Private Limited	INE256001011
		Zillion Infraprojects Private Limited	INE260001013

# NSDL UPDATE

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## NSDL Calendar of Events

### Investor Depository Meets

January 31, 2013	Pudukkottai, Tamil Nadu	February 16, 2013	Deoria, Uttar Pradesh
February 1, 2013	Mayiladuthurai, Tamil Nadu	February 21, 2013	Nalgonda, Andhra Pradesh
February 2, 2013	Thanjavur, Tamil Nadu	February 22, 2013	Secunderabad, Andhra Pradesh
February 7, 2013	Diamond Harbour, West Bengal	February 23, 2013	Hyderabad, Andhra Pradesh
February 8, 2013	Howrah, West Bengal	February 28, 2013	Palghat, Kerala
February 9, 2013	Serampore, West Bengal	March 1, 2013	Irinjalakuda, Kerala
February 14, 2013	Azamgarh, Uttar Pradesh	March 2, 2013	Tripunithura, Kerala
February 15, 2013	Ballia, Uttar Pradesh		

### Compliance Requirement

Sr.No.	Particulars	Reference	Due date	Mode of sending
1	Investor Grievance Report (Monthly)	(i) Circular No. NSDL/PI/98/500 dated October 6, 1998. (ii) Circular No. NSDL/PL/2004/1688 dated September 16, 2004.	January 31st every year.	By hard copy to Business Partner Inspection Department.
2	Compliance Certificate (July - December)	Circular No. NSDL/ POLICY/2006/0023 dated July 6, 2006.	January 31st every year.	By hard copy to Business Partner Inspection Department.

## NSDL Offices

### Head Office

**Mumbai**  
4th Floor, 'A' Wing,  
Trade World,  
Kamala Mills Compound,  
Senapati Bapat Marg,  
Lower Parel,  
Mumbai - 400013  
Tel: (022) 24994200 /  
40904200  
Fax: (022) 24976351

### Branch Offices

**Ahmedabad**  
Unit No. 407, 4th floor, 3rd Eye One Commercial  
Complex Co-op. Soc. Ltd.,  
C. G. Road, Near Panchvati Circle,  
Ahmedabad - 380006  
Tel: (079) 26461376, Fax: (079) 26461375

**Kolkata**  
5th Floor, The Millenium, Flat No. 5W, 235 / 2A,  
Acharya Jagdish Chandra Bose Road,  
Kolkata - 700020  
Tel: (033) 22814661 / 62, Fax: (033) 22891945

**Chennai**  
6A, 6th Floor, Kences Towers,  
#1 Ramkrishna Street, North Usman Road,  
T. Nagar, Chennai - 600017  
Tel: (044) 28143917 / 18, Fax: (044) 28144593

**New Delhi**  
409 / 410, Ashoka Estate Building, 4th Floor,  
Barakhamba Road, Connaught Place,  
New Delhi - 110001  
Tel: (011) 23353815 / 17, Fax: (011) 23353756

### Investor Relationship Cell

**Officer-In-Charge,**  
National Securities Depository Ltd.  
4th Floor, 'A' Wing, Trade World, Kamala Mills Compound,  
Senapati Bapat Marg, Lower Parel, Mumbai - 400013  
Tel: (022) 24994200 / 40904200  
Fax: (022) 24976351  
Email: [relations@nsdl.co.in](mailto:relations@nsdl.co.in)

### NSDL Certification Programme

**Officer-In-Charge,**  
National Securities Depository Ltd.  
4th Floor, 'A' Wing, Trade World, Kamala Mills Compound,  
Senapati Bapat Marg, Lower Parel, Mumbai - 400013  
Tel: (022) 24994200 / 40904200  
Fax: (022) 24976351  
Email: [trainingdept@nsdl.co.in](mailto:trainingdept@nsdl.co.in)

For more information, email us at [info@nsdl.co.in](mailto:info@nsdl.co.in)

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# Rajiv Gandhi Equity Savings Scheme (RGESS), 2012

## Invest in RGESS and Save Tax

### Rajiv Gandhi Equity Savings Scheme (RGESS), 2012

With an objective to encourage flow of savings of the small investors in domestic capital market, the Government of India (GOI) announced a scheme named Rajiv Gandhi Equity Savings Scheme, 2012 (RGESS) to offer tax benefits to 'New Retail Investor'.

### Avail the additional tax benefits under RGESS

Under RGESS, "New Retail Investor" will be eligible for additional tax benefit (i.e. deduction) upto ₹ 25,000 under Section 80 CCG on their investments in eligible securities upto a maximum of ₹ 50,000. This is over and above the limit of ₹ 1,00,000 currently available under Section 80C of the Income Tax Act, 1961.

#### Who is eligible?

- You must be resident individual
- You must be a new retail investor i.e. you do not have a demat account or you have demat account but have not done any transaction till the date of notification
- Your gross total income must be less than or equal to ₹ 10 lakhs

#### Next Step

- Open a new demat account with any DP of NSDL
- If you already have a demat account and you are eligible, designate your demat account under RGESS
- Start Investing

#### Where to invest?

You can invest in eligible securities. Eligible securities considered for RGESS investment are:

- Equity shares of selected companies which includes:
  - ➔ Companies falling in the list of 'CNX-100' of NSE or 'BSE-100'
  - ➔ Public sector enterprises categorized as Maharatna, Navratna or Miniratna by the Central Government
- Units of Mutual Fund (MF) schemes which are RGESS compliant
- Units of Exchange Traded Funds (ETFs) which are RGESS compliant
- IPOs / NFOs of above mentioned companies / funds

List of RGESS eligible stocks / ETFs / MF schemes is available on the website of NSDL ([www.nsd.co.in](http://www.nsd.co.in))

#### How to invest?

- **Secondary Market:** Approach any SEBI registered Stock Broker of your choice
- **Mutual Funds:** Approach any Mutual Fund distributor or a SEBI registered Stock Broker. Ensure to provide your demat account details i.e. Demat Account Number and DP ID
- **IPO / NFO of RGESS compliant companies / funds:** Investor must ensure to mention demat account details i.e. Demat Account Number and DP ID in the IPO / NFO application form

#### Is there any lock-in?

- Lock-in period under RGESS is of three years which includes 'Fixed Lock-in' period of one year and 'Flexible lock-in' period of two years
- During 'Fixed Lock-in' period, eligible securities cannot be sold / pledged. During 'Flexible lock-in' period, eligible securities can be sold / pledged, subject to certain conditions
- The designated RGESS demat account will be converted into a regular or ordinary demat account at the end of the flexible lock-in period

**SAVE**  
Tax  
**SAVE**  
Money

**NATIONAL SECURITIES DEPOSITORY LIMITED**

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